

P21597.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21597	Total Pages	
Inventor(s) or Application Identifier Teruo OUCHI			J1002/U.S. PTO 10/04/2017
Title: INSERTION UNIT FOR ENDOSCOPE			03/17/2017

JC698 U. S. PTO

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>24</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>6</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed</p> <p>a. <input checked="" type="checkbox"/> Claim of Priority</p> <p>b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>ASAHI KOGAKU KOGYO KABUSHIKI KAISHA</u> <u>of Tokyo, JAPAN</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> _____ _____ _____</p>	
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____.  <i>Prior application information: Examiner: _____ Group Art Unit: _____</i> </p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence:      This application is a <u>continuation-in-part</u>, <u>continuation</u>, <u>divisional</u>, of Application No. _____ / _____, filed _____.</p>	

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

1/1/02  
Date

  
Signature Reg. No. 33,329

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

  
**07055**

PATENT TRADEMARK OFFICE

**FEE TRANSMITTAL**

					Complete if Known																																																																																																																																																																																																													
					Application Number		Not Yet Assigned																																																																																																																																																																																																											
					Filing Date		Concurrently Herewith																																																																																																																																																																																																											
					First Named Inventor		Teruo OUCHI																																																																																																																																																																																																											
					Group Art Unit		Unassigned																																																																																																																																																																																																											
					Examiner Name		Unassigned																																																																																																																																																																																																											
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$) <b>780.00</b>			Attorney Docket Number		P21597																																																																																																																																																																																																											
<b>METHOD OF PAYMENT</b> (check one)					<b>FEES CALCULATION</b> (continued)																																																																																																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p>					<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR Prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td colspan="5"><b>SUBTOTAL (1) (\$ 740.00)</b></td><td colspan="4">Petitions related to provisional applications</td></tr> <tr> <td colspan="5"> <p>2. CLAIMS</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20=</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>6</td><td>-20=</td><td>0</td><td>x 18</td><td>= 0.00</td></tr> <tr><td>Independent</td><td>-3=</td><td>0</td><td>x 84</td><td>= 0.00</td></tr> <tr><td>Claims</td><td></td><td></td><td></td><td></td></tr> <tr><td>Multiple Dependent Claims</td><td></td><td>x 280</td><td>=</td><td>0</td></tr> </tbody> </table> </td> <td colspan="4"> <p>Submission of IDS</p> <p>Recording each patent assignment per property (times number of properties) <b>\$40.00</b></p> <p>Filing a submission after final rejection (37 CFR 1.129(a))</p> <p>For each additional invention to be examined (37 CFR 1.129(b))</p> <p>Request for Continued Examination (RCE)</p> <p>Request for expedited examination of a design application</p> </td></tr> <tr> <td colspan="5"></td> <td colspan="4"> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p> </td></tr> <tr> <td colspan="5"></td> <td colspan="4"><b>SUBTOTAL (3) (\$ 40.00)</b></td> </tr> <tr> <td colspan="5"></td> <td colspan="4">*Reduced by Basic Filing Fee paid</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee Code	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR Prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within 1st month		116	400	216	200	Extension for response within 2nd month		117	920	217	460	Extension for response within 3rd month		118	1,440	218	720	Extension for response within 4th month		128	1,960	228	980	Extension for response within 5th month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive unavoidably abandoned application		141	1,280	241	640	Petition to revive unintentionally abandoned application		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		<b>SUBTOTAL (1) (\$ 740.00)</b>					Petitions related to provisional applications				<p>2. CLAIMS</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20=</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>6</td><td>-20=</td><td>0</td><td>x 18</td><td>= 0.00</td></tr> <tr><td>Independent</td><td>-3=</td><td>0</td><td>x 84</td><td>= 0.00</td></tr> <tr><td>Claims</td><td></td><td></td><td></td><td></td></tr> <tr><td>Multiple Dependent Claims</td><td></td><td>x 280</td><td>=</td><td>0</td></tr> </tbody> </table>					Total Claims	-20=	Extra	Fee from below	Fee Paid	6	-20=	0	x 18	= 0.00	Independent	-3=	0	x 84	= 0.00	Claims					Multiple Dependent Claims		x 280	=	0	<p>Submission of IDS</p> <p>Recording each patent assignment per property (times number of properties) <b>\$40.00</b></p> <p>Filing a submission after final rejection (37 CFR 1.129(a))</p> <p>For each additional invention to be examined (37 CFR 1.129(b))</p> <p>Request for Continued Examination (RCE)</p> <p>Request for expedited examination of a design application</p>									<p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p>									<b>SUBTOTAL (3) (\$ 40.00)</b>									*Reduced by Basic Filing Fee paid			
Large Entity Fee Code (\$)	Entity Fee Code	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																													
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																														
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																														
139	130	139	130	Non-English specification																																																																																																																																																																																																														
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																														
112	920*	112	920*	Requesting publication of SIR Prior to Examiner action																																																																																																																																																																																																														
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																														
115	110	215	55	Extension for response within 1st month																																																																																																																																																																																																														
116	400	216	200	Extension for response within 2nd month																																																																																																																																																																																																														
117	920	217	460	Extension for response within 3rd month																																																																																																																																																																																																														
118	1,440	218	720	Extension for response within 4th month																																																																																																																																																																																																														
128	1,960	228	980	Extension for response within 5th month																																																																																																																																																																																																														
119	320	219	160	Notice of Appeal																																																																																																																																																																																																														
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																																														
121	280	221	140	Request for oral hearing																																																																																																																																																																																																														
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																														
140	110	240	55	Petition to revive unavoidably abandoned application																																																																																																																																																																																																														
141	1,280	241	640	Petition to revive unintentionally abandoned application																																																																																																																																																																																																														
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																																														
143	460	243	230	Design issue fee																																																																																																																																																																																																														
144	620	244	310	Plant issue fee																																																																																																																																																																																																														
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																														
<b>SUBTOTAL (1) (\$ 740.00)</b>					Petitions related to provisional applications																																																																																																																																																																																																													
<p>2. CLAIMS</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20=</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>6</td><td>-20=</td><td>0</td><td>x 18</td><td>= 0.00</td></tr> <tr><td>Independent</td><td>-3=</td><td>0</td><td>x 84</td><td>= 0.00</td></tr> <tr><td>Claims</td><td></td><td></td><td></td><td></td></tr> <tr><td>Multiple Dependent Claims</td><td></td><td>x 280</td><td>=</td><td>0</td></tr> </tbody> </table>					Total Claims	-20=	Extra	Fee from below	Fee Paid	6	-20=	0	x 18	= 0.00	Independent	-3=	0	x 84	= 0.00	Claims					Multiple Dependent Claims		x 280	=	0	<p>Submission of IDS</p> <p>Recording each patent assignment per property (times number of properties) <b>\$40.00</b></p> <p>Filing a submission after final rejection (37 CFR 1.129(a))</p> <p>For each additional invention to be examined (37 CFR 1.129(b))</p> <p>Request for Continued Examination (RCE)</p> <p>Request for expedited examination of a design application</p>																																																																																																																																																																																				
Total Claims	-20=	Extra	Fee from below	Fee Paid																																																																																																																																																																																																														
6	-20=	0	x 18	= 0.00																																																																																																																																																																																																														
Independent	-3=	0	x 84	= 0.00																																																																																																																																																																																																														
Claims																																																																																																																																																																																																																		
Multiple Dependent Claims		x 280	=	0																																																																																																																																																																																																														
					<p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p>																																																																																																																																																																																																													
					<b>SUBTOTAL (3) (\$ 40.00)</b>																																																																																																																																																																																																													
					*Reduced by Basic Filing Fee paid																																																																																																																																																																																																													

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Bruce H. Bernstein			Reg. Number	29,027
Signature	<i>Leslie J. Dwyer, Reg. No. 33,329</i>			Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Teruo OUCHI

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : INSERTION UNIT FOR ENDOSCOPE

**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on January 11, 2002, and pages 1 - 24 of the specification (with Figures 1 - 10) which were earlier forwarded to the undersigned by Applicant's Japanese representative on January 10, 2002. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the application before executing the declaration.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

P21597.A01

Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,  
Teruo OUCHI

*Bruce H. Bernstein Reg. No. 33,329*  
Bruce H. Bernstein  
Reg. No. 29,027

January 11, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Virginia 20191  
(703) 716-1191